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South Ural State University School of Electronic Engineering and Computer Science Problem-Oriented Cloud Computing Environment International Laboratory

Seminar

Privacy-Preserving Machine Learning as a Service



Speaker

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UNIVERSIDAD DE LA REPÚBLICA URUGUAY









Russia, December 2020.



Content

- Motivation
- Machine Learning as a Service
- Homomorphic Encryption
- Privacy-Preserving Neural Networks
- Privacy-Preserving Logistic Regression
- Future work



Motivation

Cloud computing has been widely adopted because it allows acquiring on-demand computing resources

Machine Learning as a Service (MLaaS) has emerged as a flexible and scalable solution in cloud environments





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Motivation

MLaaS offers different types of resources and tools to train and deploy ML models



Machine Learning process

The training process can consume many computational resources and time

• The high-performance computing resources in the cloud can reduce training and testing time

The remote infrastructure of the cloud reduces the problems of resources and implementation, but it introduces several privacy concerns in sensitive information



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Machine Learning as a Service

Data security in cloud computing offers data protection from theft, leakage, deletion at levels of firewalls, penetration testing, obfuscation, tokenization, Virtual Private Networks (VPN), etc.

The use of third-party services can bring several cybersecurity risks

• Traditional encryption does not solve the problem because ML model requires full access to confidential data



Security and privacy are significant challenges because data must be decrypted for analytics



Homomorphic Encryption

Homomorphic Encryption (HE) and Secure Multi-party Computation (SMC) are ways to address vulnerabilities of data processing

HE is an encryption system that enables the processing of information on ciphertexts



HE schemas strengthen several aspects of security in the cloud



- c_+ is created using c_1 and c_2 , and its decryption produces $m_1 + m_2$
 - c_{\times} encrypts $m_1 \times m_2$





Homomorphic Encryption

- "Homomorphic" refers to a mapping between functions on the space of messages and ciphertexts
- A function applied to ciphertexts provides the same (encrypted) result than its homomorphic function used in the messages they encrypt

The system only uses publicly available information without risks of the data breach

• No access to information in the ciphertext or any secret key

HE implementation exhibits several limitations, the three main directions in this field are:





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Homomorphic Encryption for ML

HE surveys consolidate significant contributions focusing on performance improvement, new approaches, applications, among others

They provide knowledge foundation and general panorama to researchers interested in applying and extending HE approaches

Topic Reference	Technical	Limitations	Applications	Tools	Cloud-based	Implementations
Vaikuntanathan [1]	•		•			
Armknecht et al. [2]	•	٠	•	٠		•
Naehrig et al. [3]	•	•	•		•	•
Archer et al. [4]			٠		•	
Acar et al. [5]	•	•	•	•		
Martins et al. [6]	•	٠	•			
Parmar et al. [7]	•		•			
Shunmuganathan [8]	•		•			
Gentry [9]	•	•				
Aguilar-Melchor [10]	•		•			•
Hrestak and Picek [11]	•			•	•	
Moore et al. [12]	•					•

Table 1. Main topics of HE reviews



ruble 2. Comparative of fill approaches																
	Of	perati	ons	MI	_ ap	pro	ach		Sch	eme	e			Obje	ective	•
Year	Addition	Multiplication	Other	LR	NN	DNN	Decision Trees	Ideal Lattice	Integer-based	(R) LWE	NTRU	Two-party	Multi-party	Security	Efficiency	
1978		•										•		•		
1985		•										•		•		
1999	•											•		•		
2009	•	•						٠				•		•		
2011	•	•		•						•		•		•		
2014	•	•		•					•		•	•		•	•	A
2015	•	•					•			•		•		•		
2016	• • •	• • •		•	•	•			•	•		•	•	• • •	•	
2017	•	•				•			•	•		• • •		• •	•	
2018	• • • •	•		•		•			•	•		•	•	• • • •	•	
2019	•	•			•				•				•	•		

Table 2 Comparative of HE approaches

Homomorphic Encryption for ML

A small number of primitives have been developed for predicting and classifying confidential information using HE schemas

The main goal is to enrich the MLaaS paradigm



Homomorphic Encryption for ML

- Theoretical research in HE should be complemented with high-quality implementations
- Industrial and academic groups have been released several HE libraries in recent years

Table 3. Comparison of commonl	y general-purpose HE libr	aries across their pros and cons
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Tool	Support	Pros	Cons
SEAL	Microsoft	Well-documented Easy security parameters setting	Poor flexibility Limited number of supported schemes
HElib	IBM	Efficient homomorphic operations	Low bootstrapping performance Complicated security parameter setting
TFHE		Fast bootstrapping	Poor performance for simple tasks
PALISADE	DARPA, MIT, UCSD, etc.	Multiple HE schemes Cross-platform	
cuHE		Mass parallelism and high memory bandwidth of GPUs	Poor documentation and support
HEAAN	Seoul National University	Operations between rational numbers	
HE-transformer	Intel	Integration with deep learning libraries	Extension of SEAL



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Homomorphic Encryption for ML

An analysis shows the emerging interest of the research community in the construction of HE in handling highly sensitive data

Machine learning models to process over encrypted data





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Privacy-Preserving Neural Networks



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Privacy-Preserving Neural Networks

Each neuron consists of n_I inputs $x = (x_1, ..., x_{n_I})$ and an output y

$$y = f\left(\sum_{i=1}^{n_I} w_i \times x_i + \beta\right)$$

The value of y defines a weighted sum of the inputs considering the weights $w = (w_1, \ldots, w_{n_l})$, a bias β and the non-linear activation function f

The HE version of a neuron (NN-HE) substitutes $+, \times$, and f

$$\bar{y} \leftarrow \ddot{f} \left(\sum_{i=1}^{n_{I}} (\bar{w}_{i} \times \bar{x}_{i}) + \bar{\beta} \right)$$

where \bar{x}, \bar{w} , and $\bar{\beta}$ are the corresponding ciphertexts of x, w, and β , and \ddot{f} is the homomorphic version of f

 \ddot{f} is a polynomial approximation that only consists of operations $\ddot{+}$ and $\ddot{\times}$

 \bar{y} contains the encrypted output of the neuron computation, it guarantees the privacy of the result even if it is disclosed

The network structure defines the interaction between layers (sets of neurons) The NN-HE does not apply any modification in the structure of the NN Privacy-Preserving Neural Networks



Privacy-Preserving Neural Networks

The activation function is essential in the construction of a NN model

• The definition of \ddot{f} is an open problem (standard activation functions use operations not supported by HE)

A polynomial approximation have to balance between complexity and accuracy

- High-degree polynomials provide high accuracy with slow computations
- Low-degree polynomials provide fast computations with low accuracy

Function	n Model LR NN		Approximation Method	$\begin{array}{c} 1.8 \\ 1.6 \\ Tanh \end{array}$
	2, 3	•	Chebyshev polynomials	ReLU //
	2	•	Taylor series, area	1LReLU
Sigmoid	1	•	Taylor series	0.8 Swish
	3, 5, 7	•	Taylor series	
	9	•	Taylor series, Padé	
Tanh	2, 3	•	Chebyshev polynomials	0 = = = = = = = = = = = = = = = = = = =
	9	•	Taylor series, Padé	-0.2
	3, 4	•	Chebyshev polynomials	-0.4 /
	2,3,4,5,6	•	Least squares polynomial fit (soft.)	
	2, 3	•	Derivative of ReLU	-0.8
ReLU	1	•	Taylor series, Padé	-4 -3 -2 -1 0 1 2
	3, 4	•	Chebyshev polynomials	Х
	2	•	Polytope-based method	Fig 3 Activation functions
Swich	3,4	•	Chebyshev polynomials	1 5. <i>3</i> . Activation functions
Swish	2	•	Polytope-based method	

Table 4. Summary of activation function approximations

Privacy-Preserving Neural Networks



Privacy-Preserving Neural Networks

The training process consists of developing a mapping from the input to the output space based on the modification of w of each neuron

- In the HE domain, the training process implies *large encrypted messages* and *several bootstrapping executions*
 - 1. The bootstrapping reduces the noise in the ciphertext
 - 2. Noise guarantees certain level of security
 - 3. Each operation increases the underlying noise
 - 4. Message decryption fails when noise overpasses a certain threshold

"The computational cost of seven-layer CNN training is around **one hour** with a conventional CPU, while to train the same CNN with HE requires around **a year** [13]"





Privacy-Preserving Neural Networks

Two options are common to deal with the bootstrapping during NN-HE training

- 1. Acceleration of bootstrapping. The use of high-performance, distributed, and parallel computing provide tools for training over large encrypted datasets
 - Hardware accelerators (GPU, FPGA, etc.) and customized chips (ASIC)
- 2.1 Avoiding bootstrapping operations focuses on decrypting the ciphertext inside a secure entity (client-server, secured HPC, etc.)
 - An hybrid model between HE and SMC
- 2.2 Public weight of pre-trained NNs. The training phase is performed over unencrypted data to avoid overhead (the evaluation is done over encrypted data)
 - Current practice

The NN-NE evaluation involves efficient implementations of weighted-sum and f

- Multiplication operation is slower and adds large amounts of noise
- A bootstrapping operation is necessary when a ciphertext contains too much noise



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Privacy-Preserving Logistic Regression as a Cloud Service based on Residue Number System





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Residue Number System

Residue Number System (RNS) is a variation of finite ring isomorphism where original numbers are represented as residues

- ▲ moduli set of pairwise co-prime numbers $\{p_1, p_2, ..., p_n\}$ defines the representation of the values in the range of $P = \prod_{i=1}^{n} p_i$
- An integer number $X \in [0, P 1)$ is defined in RNS as a tuple $(x_1, x_2, ..., x_n)$ where x_i represents the remainder of the division of X by p_i





Logistic Regression

Logistic Regression (LR) is a statistical method for analyzing information where:

- A dataset $X^{(i)} \in \mathbb{R}^d$ and their labels $Y^{(i)} \in \{0,1\}$ for i = 1, 2, ..., n are used to model a binary dependent variable
- The predict of a binary outcome considers the logistic function

The inference of LR considers the hypothesis $h_{\theta}(X^{(i)}) = g(\theta^T X^{(i)})$ where

- Logistic function: $g(z) = \frac{1}{1+e^{-z}}$
- Weights: $\theta^T = [\theta_0, \theta_1, \dots, \theta_d]^T$
- Data: $X^{(i)} = [1, X_1^{(i)}, X_2^{(i)}, \dots, X_d^{(i)}]^T$



The training phase of LR focuses on finding θ^* , the values of θ that minimizes the number of errors in the prediction

- θ^* is used to estimate the binary classification of new data
- For $X' = [1, X_1, ..., X_d] \in \mathbb{R}^{d+1}$ is possible to guess its binary value $Y' \in \{0, 1\}$ by $Y' = \begin{cases} 1 & if h_{\theta^*}(X') \ge \tau \\ 0 & if h_{\theta^*}(X') < \tau \end{cases}$

 τ defines a variable threshold in $0 < \tau < 1$, typically with value equal to 0.5

Privacy-Preserving Logistic Regression as a Cloud Service based on Residue Number System



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Privacy-Preserving Logistic Regression

Gradient Descent (GD) is an optimization algorithm to minimize the error function or objective function $J(\theta)$

- The optimization process updates θ according to $\nabla_{\theta} J(\theta)$, a partial derivate of $J(\theta)$
- The learning rate α defines the dimension of the steps

Algorithm 1. Batch Gradient Descent

Input: X, Y, θ, α , and maxIter Output: θ

1 For
$$i \leftarrow 1$$
 to maxIter

2
$$\theta \leftarrow \theta - \alpha \nabla_{\theta} J(\theta, X, Y)$$

3 Return θ

$$J(\theta) = -\frac{1}{n} \sum_{i=1}^{n} y^{(i)} \log(h_{\theta}(x^{(i)})) + (1 - y^{(i)}) \log(1 - h_{\theta}(x^{(i)}))$$

We propose a data confidentiality LR for cloud service with HE based on RNS

Encryption	Degree of polynomial approximation	Gradient descent	Metrics	Library	Datasets	Ref.
Paillier, LWE, Ring-LWE	2	BGD	F-score, AUC	-	Pima, SPECTF	[14]
Ring-LWE	1	GD-FHN	ROC, accuracy	NFLlib	iDASH, financial data	[15]
Ring-LWE	3,5,7	NAG	AUC, accuracy	HEAAN	iDASH, lbw, mi, nhanes3, pcs, uis	[16]
Ring-LWE, RNS	7	NAG	AUC, accuracy	HEAAN	Lbw, uis	[17]
Ring-LWE	5	NAG	AUC	HEAAN	MNIST, credit	[18]
-	-	BGD	AUC	-	NIDDK	[19]

Table 5. Main characteristics of HE schemas for logistic regression

Privacy-Preserving Logistic Regression as a Cloud Service based on Residue Number System



Momentum Gradient Descent (MGD)

Privacy-Preserving Logistic Regression

Four main variants of the original GD are commonly used in the literature:

- Batch Gradient Descent (BGD)
- Stochastic Gradient Descent (SGD)
 Nesterov Accelerated Gradient (NAG)

Algorithm 2. S	tochastic Gradient Descent
Input: X Y A a	and iters
Output: A	
	14
1 For $l \leftarrow 1$ to	iters
2 Shuffle (X	(,Y)
3 For $j \leftarrow 1$	to $length(X)$
4 $\theta \leftarrow \theta$ -	$- \alpha \nabla_{\theta} J(\theta, x^{(j)}, y^{(j)})$
5 Return θ	
Algorithm 3. Momentum Gradient Descent	Algorithm 4. Nesterov Gradient Descent
Input: $X, Y, \theta, \alpha, \beta$, and iters.	Input: $X, Y, \theta, \alpha, \beta$, and iters
Output: θ .	Output: θ .
1 For $i \leftarrow 1$ to <i>iters</i>	1 For $i \leftarrow 1$ to <i>iters</i>
2 Shuffle (X, Y)	2 Shuffle (X, Y)
3 For $j \leftarrow 1$ to $length(X)$	3 For $j \leftarrow 1$ to $length(X)$
4 $v_t \leftarrow \beta v_{t-1} - \alpha \nabla_{\theta} J(\theta, x^{(j)}, y^{(j)})$	4 $v_t \leftarrow \beta v_{t-1} - \alpha \nabla_{\theta} J(\theta - \beta v_{t-1}, x^{(j)}, y^{(j)})$
$5 \theta \leftarrow \theta + v_t$	5 $\theta \leftarrow \theta + v_t$
6 Return θ Privacy-Preserving Logistic Reg	gression as a CReturn de based on Residue Number System 21



Privacy-Preserving Logistic Regression

- Each iteration of the algorithm, all records in the training set are used to update the values of θ
- **Theta** provides a polynomial approximation to the logistic function
- **HE.rescale** eliminates the accumulated scaling factor generated after each multiplication

Algorithm 5. HE-RNS Batch Gradient Descent

```
Input: X, Y, theta, alpha, maxIter
Output: theta
     For iter \leftarrow 1 to maxIter
 1
 2
         For i \leftarrow 1 to X size
 3
            parcialCost \leftarrow HE.sub (hTheta (X[i], theta), Y[i]))
            For j \leftarrow 1 to theta.size
 4
 5
                cost[j] \leftarrow HE.add (cost[j], HE.mul (parcialCost, X[i][j]))
         For i \leftarrow 1 to theta.size
 6
 7
              cost[i] \leftarrow HE.rescale (HE.mul(average, HE.mul(cost[i], alpha)))
 8
             theta[i] \leftarrow HE.sub (theta[i], cost[i])
     Return theta
 9
```

We propose a data confidentiality LR for cloud service with HE based on RNS

Privacy-Preserving Logistic Regression as a Cloud Service based on Residue Number System



Configuration setup

Experimental analysis considers 30 configurations for each dataset to compare the performance and quality of our solution with the state of the art algorithms

- Six datasets from medicine and genomics
- Polynomial approximation of logistic function
- 5-fold cross-validation
- A scalar factor of 16 bits
- Seven pair-wise relatively primes
- Iterations: 5, 10, 15, 20, 25



Fig. 5. Sigmoid and approximation functions

• Learning rate: 1.6, 1.1, 0.6, 0.1, 0.06, 0.01, 0.006, 0.001, 0.0006, 0.0001

Metrics

- Accuracy (A) expresses the systematic error to estimate a value
- The Area Under the ROC Curve (AUC) is a performance indicator of classifiers



Configuration setup

We consider six datasets widely used in the literature

- 1. Low Birth Weight (Lbw) dataset consists of information about births to women in an obstetrics clinic
- 2. Myocardial Infarction (Mi) is a heart disease dataset
- 3. National Health and Nutrition Examination Survey (Nhanes3) includes a database of human exposomes and phenomes
- 4. The Indian's diabetes dataset (Pima)
- 5. Prostate Cancer Study (Pcs) dataset of patients with and without cancer of prostate
- 6. Umaru Impact Study (Uis) dataset stores information about resident treatment for drug abuse

Dataset	Ν	Features	N-Training	N-Testing
Lbw	189	9	151	38
Mi	1,253	9	1,002	251
Nhanes3	15,649	15	12,519	3,130
Pima	768	8	614	154
Pcs	379	9	303	76
Uis	575	8	460	115

Table 6. Datasets characteristics and size of sets



Results

Table 7 presents the best average values of *UAC* and *A* for all GD versions, each value represents the average of 30 execution with different initial values of θ

	Name		AUC								A(%)						
	Ivallie	Lbw	Mi	Nhanes3	Pcs	Pima	Uis	Average	Lbw	Mi	Nhanes3	Pcs	Pima	Uis	Average		
HE	E-BGD-RNS	0.7358	0.9388	0.8112	0.7445	0.6983	0.5483	0.7557	71.84	88.87	78.89	66.05	67.79	74.43	76.02		
BC	Ð	0.7353	0.9357	0.7961	0.7406	0.6964	0.5458	0.7507	71.84	89.02	78.86	66.14	67.65	74.35	76.04		
HE	-SGD-RNS	0.7541	0.9421	0.9029	0.8151	0.8505	0.6118	0.8052	73.42	88.9	84.51	66.32	74.7	74.81	77.59		
SG	D	0.7618	0.9445	0.903	0.8162	0.8487	0.6158	0.8083	73.86	89.39	84.3	66.32	74.7	74.75	77.72		
HE	E-MGD-RNS	0.7552	0.9445	0.902	0.8143	0.8508	0.6116	0.8055	72.89	88.95	84.53	66.01	74.66	74.72	77.42		
M	GD	0.7634	0.9445	0.903	0.8169	0.8488	0.6152	0.8086	73.86	89.42	84.33	66.36	74.77	74.72	77.74		
HE	-NAG-RNS	0.7552	0.9445	0.902	0.8143	0.8508	0.6115	0.8055	72.81	88.95	84.53	66.01	74.7	74.72	77.40		
NA	G	0.763	0.9445	0.903	0.817	0.8489	0.6154	0.8086	74.04	89.42	84.33	66.36	74.79	74.72	77.77		
HE	E-NA-LR [16]	0.689	0.958	0.717	0.74	-	0.603	0.7414	69.19	91.04	79.22	68.27	-	74.44	76.43		
HE	E-SS-LR [14]	-	-	-	-	0.8763	-	-	-	-	-	-	80.7	-	-		

Table 7. Average AUC and A

For AUC, HE-SGD-RNS, HE-MGD-RNS, and HE-NAG-RNS provide the best-found solutions in three datasets

For *A*, HE-SGD-RNS and HE-NAG-RNS found three times the best values of θ The maximal difference between RNS and non-homomorphic algorithms are:

1.51 % for AUC with HE-BGD-RNS and Nhanes3

1.23 % for A with HE-NAG-RNS and Lbw

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Results

Table 8 present the best values of AUC and A, each value represents the best θ of 1,500 execution: learning rates × iters × initial values

Nama					A(%)									
Name	Lbw	Mi	Nhanes3	Pcs	Pima	Uis	Average	Lbw	Mi	Nhanes3	Pcs	Pima	Uis	Average
HE-BGD-RNS	0.7981	0.9485	0.8509	0.8045	0.795	0.585	0.7974	78.95	90.44	79.74	77.63	74.66	76.52	80.66
BGD	0.8013	0.947	0.8317	0.8061	0.7946	0.5846	0.7941	78.95	90.84	79.36	77.63	73.97	76.52	80.66
HE-SGD-RNS	0.7949	0.9536	0.9039	0.8357	0.8602	0.6604	0.8297	81.58	91.24	86.01	78.95	79.45	76.52	82.86
SGD	0.7949	0.9557	0.9039	0.8341	0.86	0.66	0.8297	81.58	91.24	86.17	77.63	80.14	76.52	82.63
HE-MGD-RNS	0.8125	0.9541	0.9033	0.8341	0.8608	0.6632	0.8334	81.58	90.84	85.88	78.95	79.45	79.13	83.28
MGD	0.8045	0.9562	0.9039	0.8518	0.8627	0.6596	0.8352	81.58	91.24	85.88	77.63	78.77	77.39	82.74
HE-NAG-RNS	0.8013	0.9536	0.9033	0.8349	0.8596	0.6584	0.8303	81.58	91.24	85.94	78.95	79.45	76.52	82.85
NAG	0.8077	0.9574	0.9039	0.8486	0.8631	0.6616	0.8358	84.21	91.24	85.97	77.63	79.45	76.52	83.11
HE-NA-LR	0.689	0.958	0.717	0.740	-	0.603	0.7414	69.19	91.04	79.22	68.27	-	74.44	76.43
HE-SS-LR	-	-	-	-	0.8763	-	-	-	-	-	-	80.7	-	-

Table 8. Best AUC and A

For AUC, HE-MGD-RNS provides the best θ in four of the six datasets, it is followed by HE-SGD-RNS with only two

For *A*, HE-SGD-RNS outperforms HE-MGD-RNS and HE-NAG-RNS in five datasets The maximal difference between RNS and non-homomorphic algorithms are

1.92 % for HE-BGD-RNS with Nhanes3 dataset in AUC

2.63 % for HE-NAG-RNS with respect to Lbw dataset in A

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Future work

Privacy-Preserving Neuronal Networks

- 1. Polynomial approximation of activation function \ddot{f}
- 2. Bootstrapping
 - Acceleration
 - Secure Multi-party Computation
 - Pre-trained NNs models



Privacy-Preserving Logistic Regression with RNS

- 1. Level of security
- 2. Polynomial approximation of logistic function



Publications





- 1. Luis Bernardo Pulido-Gaytan, Andrei Tchernykh, Jorge M. Cortés-Mendoza, Mikhail Babenko, Gleb Radchenko, Arutyun Avetisyan, and Alexander Yu. Drozdov. Privacy-Preserving Neural Networks via Homomorphic Encryption: Challenges and Opportunities. *Peer-to-Peer Networking and Applications: Special Issue on Advances in Privacy-Preserving Computing*, Springer. IF 2.793, Q2. July 2020 (under review).
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Publications





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- 6. Jorge M. Cortés-Mendoza, Andrei Tchernykh, Mikhail Babenko, Luis Bernardo Pulido-Gaytán, and Gleb Radchenko. Privacy-Preserving Logistic Regression with Residue Number System as a Cloud Service. *RuSCDays'20 - The Russian Supercomputing Days*. Moscow, Russia. September 2020 (accepted).

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- [2] Armknecht, F., Boyd, C., Carr, C., Gjøsteen, K., Jäschke, A., Reuter, C.A., Strand, M.: A Guide to Fully Homomorphic Encryption, IACR Cryptology ePrint Archive, (2015).
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Questions?



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South Ural State University School of Electronic Engineering and Computer Science Problem-Oriented Cloud Computing Environment International Laboratory

Seminar

Privacy-Preserving Machine Learning as a Service



Speaker

Jorge Mario Cortés-Mendoza

UNIVERSIDAD DE LA REPÚBLICA URUGUAY



UNIVERSITÉ DU LUXEMBOURG





Russia, December 2020.